

ATTENTION UNIT TRUST INVESTORS. IMPORTANT!

Your Unit Trust Consultant (UTC) is required to explain all of the following to you.

Signing this form does not preclude you from taking action against relevant party / parties under any circumstances.

Do You Know?

Yes (✓)
No (X)

- 1) You can buy unit trusts either through a Unit Trust Consultant (UTC), Institutional Unit Trust Advisers (IUTA), Corporate Unit Trust Advisers (CUTA) or directly from Unit Trust Management Company (UTMC) or online, but each has different sales charge and level of service. Choose the one that best suits your needs.
- 2) If you redeem your units in a unit trust fund and then purchase units in another, you will probably have to pay a sales charge. However, if you switch from one fund to another managed by the same UTMC, it is likely that you may not have to pay any sales charge. Ask about switching before you redeem.
- 3) Different types of unit trust funds carry different levels of risk. Some are higher in risk than others. Ask about the risks before investing in a fund. Make sure you know what your fund is investing in.
- 4) If you are a first time investor in a UTMC, you maybe eligible for cooling-off rights, whereby you can change your mind within six (6) business days after investing and withdraw your unit trust investment. Ask about your eligibility for cooling-off.
- 5) Your UTC may represent a company that uses a nominee system and your rights as a unit holder may be limited if you invest in unit trust funds through it. If his or her company uses a nominee system, ask if your rights as a unit holder will be limited in any way.

You Should Also Know

- a) Only registered UTCs are allowed to sell unit trust funds. Your UTC should show you a valid authorisation card confirming that he / she is registered with the Federation of Investment Managers Malaysia (FIMM).
- b) A unit trust fund may only be offered to the public if it is approved by the Securities Commission Malaysia (SC). Go to www.sc.com.my for a list of unit trust funds currently available in the market or call 603-6204 8777 for assistance.
- c) When you buy into a unit trust fund, you should be given the latest copy of prospectus for free. Read the prospectus carefully; understand its contents before investing.
- d) You may have to pay direct and indirect fees and possibly other charges too. Ask about applicable fees.
- e) If you have concerns or complaints about any unit trust fund, you can contact FIMM at 603-2093 2600 (www.fimm.com.my) or the Investor Affairs & Complaints Department of the SC at 603-6204 8999.
- f) You should not make payment in cash to the UTC or issue a cheque in the name of UTC.

INVESTOR'S CONFIRMATION

I / We confirm that the UTC has explained all the points contained in this form to me / us.

1. Applicant

Name: _____ NRIC / Passport No.*: _____	Signature Date:
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2. Joint-Applicant (if applicable)

Name: _____ NRIC / Passport No.*: _____	Signature Date:
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UNIT TRUST CONSULTANT'S DECLARATION

I declare that I have explained the points contained in this form and the investor(s) understand(s) them.

Name: _____ NRIC / Passport No.*: _____ Registered as UTC of UTMC / IUTA / CUTA*: <u>Affin Hwang Asset Management Berhad</u>	Signature Date:
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*Delete where not applicable.

